# CLINICAL TRIAL REFERENCE EC-2008-CB-003 FOR THE ASSESSMENT OF THE VACCINE PB-116

# SUMMARY NOTIFICATION INFORMATION FORMAT FOR THE RELEASE OF GENETICALLY MODIFIED ORGANISMS OTHER THAN HIGHER PLANTS IN ACCORDANCE WITH ARTICLE 11 OF DIRECTIVE 2001/18/EC

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# SUMMARY NOTIFICATION INFORMATION FORMAT FOR THE RELEASE OF GENETICALLY MODIFIED ORGANISMS OTHER THAN HIGHER PLANTS IN ACCORDANCE WITH ARTICLE 11 OF DIRECTIVE 2001/18/EC

## A. General information

1. Details of notification

| | |
|---|---|
| (a) Member State of notification | SPAIN |
| (b) Notification number | B/ES/08/48 |
| (c) Date of acknowledgement of notification | 5th Setember 2008 |
| (d) Title of the project | Assessment of the safety and efficacy of the live vaccine PB-116 against Porcine Pleuropneumonia caused by *Actinobacillus pleuropneumoniae* |
| (e) Proposed period of release | From February 2009 to August 2009 |

2. Notifier

| | |
|---|---|
| Name of institution or company | LABORATORIOS HIPRA, S.A. |

3. GMO characterisation

(a) Indicate whether the GMO is a:

| | | |
|---|---|---|
| (a) Indicate whether the GMO is a: | Viroid | ☐ |
| | RNA virus | ☐ |
| | DNA virus | ☐ |
| | Bacterium | ☒ |
| | Fungus | ☐ |
| | Animal | ☐ |
| | - mammals | ☐ |
| | - insect | ☐ |
| | - fish | ☐ |
| | - other animal | ☐ specify phylum, class |
| Other, specify (kingdom, phylum and class) | | |

(b) Identity of the GMO (genus and species)

*Actinobacillus pleuropneumoniae*, strain HP-3276

(c) Genetic stability – according to Annex IIIa, II, A(10)

When considering those factors involved in the genetic stability of the bacterium *Actinobacillus pleuropneumoniae*, it should be taken into account that several virulence factors have been described: the bacterial capsule, lipopolysaccharides (LPS) and toxins. *Actinobacillus pleuropneumoniae* produces four types of RTX toxins: ApxI, ApxII, ApxIII and ApxIV. The RTX toxins are codified by operon composed of four consecutive genes: *gene A, gene B, gene C and gene D.* Genes C and A are involved in the production of active toxin, whereas genes B and D are involved in the secretion of the active toxin. All serotypes described up to date produce ApxIV; serotypes 7, 10 and 12 produce an additional toxin and serotypes 1-6, 8, 9 and 11 produce 2 additional toxins. All these characteristics demonstrate the enormous variability between the different serotypes of *Actinobacillus pleuropneumoniae.*

The strain HP-3276 of *Actinobacillus pleuropneumoniae* shows a characteristic and specific restriction pattern, which can be easily identified. This pattern constitutes a characteristic "fingerprint" of this strain which permits both its characterisation and the detection of possible modifications in its genomic structure. This data indicates that we're facing one bacterium provided with a well characterised and identifiable genetic structure. On the other hand, during all the studies carried out on the HP-3276 strain, no modifications in its restriction patters different that those expected related to the genomic deletions have been detected.

4. Is the same GMO release planned elsewhere in the Community (in conformity with Article 6(1)), by the same notifier?

| Yes ☐ | No ☒ |
|---|---|
| If yes, insert the country code(s): | |

5. Has the same GMO been notified for release elsewhere in the Community by the same notifier?

| Yes ☐ | No ☒ |
|---|---|
| If yes:<br>- Member State of notification<br>- Notification number | |

6. Has the same GMO been notified for release or placing on the market outside the Community by the same or other notifier?

| Yes ☐ | No ☒ |
|---|---|
| If yes:<br>- Member State of notification<br>- Notification number | |

7. Summary of the potential environmental impact of the release of the GMOs.

No environmental impact attributable to the GMO release is expected to occur for the following reasons:

The *Actinobacillus pleuropneumoniae* strains are generally very host-specific and not reported to affect human being or other species different from pigs.

The *Actinobacillus pleuropneumoniae* strains are also very sensitive to warm temperatures, sunlight and disinfectants.

The *Actinobacillus pleuropneumoniae* strain HP-3276 has demonstrated not to spread from inoculated to non-inoculated animals.

In the unexpected case of genomic recombination with field strains the strain HP-3276 would recover part of the deleted genomic material. The result obtained after recombination would not be different from a current *Actinobacillus pleuropneumoniae* field strain.

## B. Information relating to the recipient or parental organism from which the GMO is derived

1. Recipient or parental organism characterisation:

(a) Indicate whether the recipient or parental organism is a:

| (a) Indicate whether the recipient or parental organism is a : | |
|---|---|
| Viroid | ☐ |
| RNA virus | ☐ |
| DNA virus | ☐ |
| Bacterium | ☒ |
| Fungus | ☐ |
| Animal | ☐ |
| - mammals | ☐ |
| - insect | ☐ |
| - fish | ☐ |
| - other animal | ☐ specify phylum, class |
| Other, specify | |

2. Name

| |
|---|
| (i) order and/or higher taxon (for animals) |
| (ii) genus<br><br>Actinobacillus |
| (iii) species<br><br>*Actinobacillus pleuropneumoniae* |
| (iv) subspecies |
| (v) Strain<br><br>HP-3276 |
| (vi) pathovar (biotype, ecotype, race, etc.)<br><br>Serotype 2 |
| (vii) common name<br><br>*Actinobacillus pleuropneumoniae* |

## 3. Geographical distribution of the organism

(a) Indigenous to, or otherwise established in, the country where the notification is made:

Yes ☒ No ☐ Not known ☐

(b) Indigenous to, or otherwise established in, other EC countries

(i) Yes ☒

If yes, indicate the type of ecosystem in which is found:

- Atlantic ☒
- Mediterraean ☒
- Boreal ☒
- Alpine ☒
- Continental ☒
- Macaronesian ☒

(ii) No ☐

(iii) Not known

(c) Is it frequently used in the country where the notification is made?

Yes ☒ No ☐

(d) Is it frequently kept in the country where the notification is made?

Yes ☒ No ☐

## 4. Natural habitat of the organism

(a) If the organism is a microorganism

- water ☐
- Soil, free-living ☐
- Soil in association with plant-root systems ☐
- In association with plant leaf/stem systems ☐
- In association with animals ☒ (Porcine)

Other, specify

(b) If the microorganism is an animal: natural habitat or usual agroecosystem:

## 5(a) Detection techniques

Primary isolation in culture media, biochemical characterisation, serotypification and PCR.

5(b) Identification techniques

| |
|---|
| Primary isolation in culture media, biochemical characterisation, serotypification and PCR. |

6. Is the recipient organism classified under existing Community rules relating to the protection of human health and/or the environment?

| Yes ☐ | No ☒ |
|---|---|
| If yes, specify | |

7. Is the recipient organism significantly pathogenic or harmful in any other way (including its extra cellular products), either living or dead?

| Yes ☒ | No ☐ | Not known ☐ |
|---|---|---|

If yes:
(a) to which of the following organisms:

| | |
|---|---|
| humans | ☐ |
| animals | ☒ (Porcine) |
| plants | ☐ |
| other | ☐ |

(b) give the relevant information specified under Annex IIIA, point II. (A)(11)(d) of Directive 2001/18/EC

The pathogenesis of the Porcine Pleuropneumonia is characterised by three stages: colonization, evasion of the host defense mechanisms and lesion of the target tissues.

Colonisation consists of the adhesion capability of the pathogen to the target cells or tissues and multiplication in the host organism. Colonisation is a necessary requisite for the disease development. It has been observed that *Actinobacillus pleuropneumoniae* does not show optimal adherence to the epithelial tissue recovering the trachea and bronchi. However the adherence is optimal in the epithelial tissue that covers the terminal bronchi and alveoli.

Once adherence to the target tissues is achieved, the establishment of the infections is conditioned by the bacterium capability of obtaining the necessary nutrients for its propagation. Disponibility of essential nutrients in the respiratory tract is, generally, limited, for that reason the mechanisms intended to obtain the necessary nutrients are considered as pathogenic mechanisms. The mechanism to obtain iron is of the most relevance.

## 8. Information concerning reproduction

(a) Generation time in natural ecosystems:

21-28 days

(b) Generation time in the ecosystem where the release will take place:

21-28 days

(c) Way of reproduction

Sexual ☐ Asexual ☒

(d) Factors affecting reproduction:

Not applicable.

## 9. Survivability

(a) ability to form structures enhancing survival or dormancy:

- (i) endospores ☐
- (ii) cysts ☐
- (iii) sclerotia ☐
- (iv) asexual spores (fungi) ☐
- (v) sexual spores (fungi) ☐
- (vi) eggs ☐
- (vii) pupae ☐
- (viii) larvae ☐
- (ix) other, specify

Not applicable.

(b) relevant factors affecting survivability:

Temperature, UVA (sunlight), environmental humidity.

## 10(a) Ways of dissemination

Airborne.
Contact between animals.

## 10(b) Factors affecting dissemination

Low density of animals.

11. Previous genetic modifications of the recipient or parental organism already notified for release in the country where the notification is made (give notification numbers)

| |
|---|
| None. |

## C. Information relating to the genetic modification

1. Type of the genetic modification

| | |
|---|---|
| (i) insertion of genetic material | ☐ |
| (ii) deletion of genetic material | ☒ |
| (iii) base substitution | ☐ |
| (iv) cell fusion | ☐ |
| (v) other, specify | |

2. Intended outcome of the genetic modification

| |
|---|
| Deletion of the genomic sequences corresponding to virulence factors of *Actinobacillus pleuropneumoniae*. |

3(a) Has a vector been used in the process of modification?

| Yes ☒ | No ☐ |
|---|---|
| If no, go straight to question 5. | |

3(b) If yes, is the vector wholly or partially present in the modified organism?

| Yes ☒ | No ☐ |
|---|---|
| If no, go straight to question 5 | |

4. If the answer to 3(b) is yes, supply the following information

(a) Type of vector

| | |
|---|---|
| plasmid | ☒ |
| bacteriophage | ☐ |
| virus | ☐ |
| cosmid | ☐ |
| transposable element | ☐ |

other, specify

(b) Identity of the vector

Vectors carrying the genomic sequences to be deleted.

(c) Host range of the vector

Both vectors have been specifically designed to introduce the intended deletions in the genome of *Actinobacillus pleuropneumoniae*

(d) presence in the vector of sequences giving a selectable or identifiable phenotype

Yes ☐ No ☒

Antibiotic resistance ☐

Other, specify

Indication of which antibiotic resistance gene is inserted

(e) Constituent fragments of the vector

The analysis of the recombinant including the plasmid shows that the specific bands disappear and new bands are observed. This fact is in agreement with the performed deletion. The recombinant lacks pathogenic activity when compared to the original strain. This result indicates that the performed deletion reduces the pathogenic properties of the original strain.

(f) Method for introducing the vector into the recipient organism

| | |
|---|---|
| (i) transformation | ☒ |
| (ii) electroporation | ☐ |
| (iii) macroinjection | ☐ |
| (iv) microinjection | ☐ |
| (v) infection | ☐ |

(vi) other, specify

5. If the answer to question B.3(a) and (b) is no, what was the method used in the process of modification?

Not applicable.

| | |
|---|---|
| (i) transformation | ☐ |
| (ii) microinjection | ☐ |
| (iii) microencapsulation | ☐ |
| (iv) macroinjection | ☐ |
| (v) other, specify | |
| Not applicable. | |

6. Composition of the insert

Not applicable.

| |
|---|
| (a) Composition of the insert |
| (b) Source of each constituent part of the insert |
| (c) Intended function of each constituent part of the insert in the GMO |
| (d) Location of the insert in the host organism<br>- on a free plasmid ☐<br>- integrated in the chromosome ☐<br>- other, specify |
| (e) Does the insert contains parts whose product or function are not known<br>Yes ☐ No ☐<br>If yes, specify |

## D. Information on the organism(s) from which the insert is derived

Not applicable.

1. Indicate whether it is a:

| | | |
|---|---|---|
| viroid | | ☐ |
| RNA virus | | ☐ |
| DNA virus | | ☐ |
| bacterium | | ☐ |
| fungus | | ☐ |
| animal | | ☐ |
| - mammal | ☐ | |
| - insect | ☐ | |
| - fish | ☐ | |
| - other animal | ☐ (please specify phylum, class) | |
| other, specify | | |

2. Complete name

| |
|---|
| (i) order and/or higher taxon (for animals) |
| (ii) family name (for plants) |
| (iii) genus |
| (iv) species |
| (v) subspecies |
| (vi) strain |
| (vii) cultivar/breeding line |
| (viii) pathovar |
| (ix) common name |

3. Is the organism significantly pathogenic or harmful in any other way (including its extra cellular products), either living or dead?

| Yes ☐ | No ☐ | Not known ☐ |
|---|---|---|
| If yes, specify the following<br>(a) to which of the following organisms? | humans ☐<br>animals ☐<br>plants ☐<br>other ☐ | |
| (b) are the donated sequences involved in any way to the pathogenic or harmful properties of the organism?<br>Yes ☐ No ☐ Not known ☐ | | |
| If yes, give the relevant information under Annex IIIA, point II(A)(11)(d): | | |

4. Is the donor organism classified under existing Community rules relating to the protection of human health and the environment, such as Directive 90/679/EEC on the protection of workers from risks to exposure to biological agents at work?

| Yes ☐ | No ☐ |
|---|---|
| If yes, specify | |

5. Do the donor and recipient organism exchange genetic material naturally?

| Yes ☐ | No ☐ | Not known ☐ |
|---|---|---|

## E. Information relating to the genetically modified organism

1. Genetic traits and phenotypic characteristics of the recipient or parental organism which have been changed as a result of the genetic modification

| |
|---|
| (a) is the GMO different from the recipient as far as survivability is concerned?<br>Yes ☐ No ☒ Not known ☐<br>Specify |
| (b) is the GMO in any way different from the recipient as far as mode and/or rate of reproduction is concerned?<br>Yes ☐ No ☒ Not known ☐<br>Specify |
| (c) is the GMO different from the recipient as far as dissemination is concerned?<br>Yes ☒ No ☐ Not known ☐<br>Specify<br>The GMO shows no spread capability from inoculated to non-inoculated animals when compared with the parental strain. |
| (d) is the GMO different from the recipient as far as pathogenicity is concerned?<br>Yes ☒ No ☐ Not known ☐<br>Specify<br>The GMO shows a reduced pathogenicity when compared to the parental strain. |

2. Genetic stability of the genetically modified organism

| |
|---|
| The genetic pattern remains stable up to 5 serial passages in culture and does not revert to virulence after 2 serial passages in pigs. |

3. Is the GMO significantly pathogenic or harmful in any way (including its extracellular products), either living or dead?

| Yes ☐ | No ☒ | Not known ☐ |
|---|---|---|
| If yes, specify the following<br>(a) to which of the following organisms? | humans<br>animals<br>plants<br>other | ☐<br>☐<br>☐<br>☐ |
| (b) give the relevant information specified under Annex III A, point II(A)(11)(d) and II(C)(2)(i)<br><br>The course of a natural outbreak caused by a virulent strain of *Actinobacillus pleuropneumoniae* can be summarised as follows: the pathogen strain colonises the target animal by inhalation and colonises the tonsils, terminal bronchi and alveoli. The pathogen adheres to different factors of the epithelial tissue of the low respiratory tract. The clinical onset originates once the lung defence mechanisms fail; which is due to the Apx exotoxins release, which is responsible for the disease characteristic lesions.<br><br>The OGM is named *Actinobacillus pleuropneumoniae*, strain HP-3276.<br><br>The recombinant strain does not show haemolytic and cytolytic activities and it constitutes an attenuated strain. | | |

4. Description of identification and detection methods

| |
|---|
| (a) Techniques used to detect the GMO in the environment<br><br>Culture isolation and PCR. |
| (b) Techniques used to identify the GMO<br><br>Culture isolation and PCR. |

## F. Information relating to the release

1. Purpose of the release (including any significant potential environmental benefits that may be expected)

| |
|---|
| Assessment of the safety and efficacy of this GMO as vaccine strain against Porcine Pleuropneumonia. |

2. Is the site of the release different from the natural habitat or from the ecosystem in which the recipient or parental organism is regularly used, kept or found?

| Yes ☐ | No ☒ |
|---|---|
| If yes, specify | |

3. Information concerning the release and the surrounding area

| |
|---|
| (a) Geographical location (administrative region and where appropriate grid reference):<br><br>Three farms sited in the provinces of, Lleida, Girona and Tarragona (Spain). |
| (b) Size of the site ($m^2$):<br>(i) actual release site ($m^{2)}$: 309 Ha. approx.<br>(ii) wider release area ($m^2$): 309 Ha. approx. |
| (c) Proximity to internationally recognised biotopes or protected areas (including drinking water reservoirs), which could be affected:<br><br>None. |
| (d) Flora and fauna including crops, livestock and migratory species which may potentially interact with the GMO<br><br>Growing of cereals, vineyards and fruit trees.<br>Fauna: rabbits, birds, foxes and wild boars. |

4. Method and amount of release

| |
|---|
| (a) Quantities of GMOs to be released:<br>Minimum amount of $1.2 \times 10^{11}$ cfu<br>The GMO will be administered by intramuscular injection to pigs. |
| (b) Duration of the operation:<br><br>The GMO will be released 2 days (vaccination and revaccination days). The observation period will last for 6 months. |
| (c) Methods and procedures to avoid and/or minimize the spread of the GMOs beyond the site of the release<br><br>No spread of the GMO is expected to occur, as it will be inoculated by intramuscular injection and the GMO has demonstrated not to spread from inoculated animals. In any case the animals will be housed in isolated farms. |

5. Short description of average environmental conditions (weather, temperature, etc.)

| |
|---|
| The weather in Catalonia and Aragon is Mediterranean. The summer season is very hot and winter is not so cold. The rainfall rate is scarce and irregular and use to concentrate in spring and autumn Cataluña. In some cases heavy rainstorms and floods occur quite often. There are a lot of sunny days per year. |

6. Relevant data regarding previous releases carried out with the same GMO, if any, specially related to the potential environmental and human health impacts from the release.

| |
|---|
| None. |

## G. Interactions of the GMO with the environment and potential impact on the environment, if significantly different from the recipient or parent organism

1. Name of target organism (if applicable)

| |
|---|
| (i) order and/or higher taxon (for animals)<br><br>*Vertebrae* |
| (ii) family name (for plants) |
| (iii) genus<br><br>*Suis* |
| (iv) species<br><br>*Sus scrofa* |
| (v) subspecies |
| (vi) strain |
| (vii) cultivar/breeding line |
| (viii) pathovar |
| ix) common name<br><br>Porcine (fattening pigs). |

2. Anticipated mechanism and result of interaction between the released GMOs and the target organism (if applicable)

| |
|---|
| Replication of the GMO in the inoculated animal, without producing adverse reactions. |

3. Any other potentially significant interactions with other organisms in the environment

| |
|---|
| None. |

4. Is post-release selection such as increased competitiveness, increased invasiveness for the GMO likely to occur?

| Yes ☐ | No ☒ | Not known ☐ |
|---|---|---|
| Give details | | |

5. Types of ecosystems to which the GMO could be disseminated from the site of release and in which it could become established

| |
|---|
| None. |

6. Complete name of non-target organisms which (taking into account the nature of the receiving environment) may be unintentionally significantly harmed by the release of the GMO

None.

| |
|---|
| (i) order and/or higher taxon (for animals) |
| (ii) family name (for plants) |
| (iii) genus |
| (iv) species |
| (v) subspecies |
| (vi) strain |
| (vii) cultivar/breeding line |
| (viii) pathovar |
| ix) common name |

7. Likelihood of genetic exchange in vivo

| |
|---|
| (a) from the GMO to other organisms in the release ecosystem:<br><br>Recombination between the GMO and field strains is unlikely to occur. In such a case, the GMO would incorporate part of the deleted genome sequences. This fact is not considered to have any negative impact for the environment. |
| (b) from other organisms to the GMO:<br><br>None. |
| (c) likely consequences of gene transfer:<br><br>None. |

8. Give references to relevant results (if available) from studies of the behavior and characteristics of the GMO and its ecological impact carried out in stimulated natural environments (e.g. microcosms, etc.):

| |
|---|
| Different assays using the gene-deleted strain HP-3276 have demonstrated that such strain is less pathogenic than the parental one, does not interfere with the environment as it does not spread from inoculated animals. |

9. Possible environmentally significant interactions with biogeochemical processes (if different from the recipient or parental organism)

| |
|---|
| None. |

## H. Information relating to monitoring

1. Methods for monitoring the GMOs

| |
|---|
| Physical barriers in the release area. Absence of GMO sensitive wildlife. |

2. Methods for monitoring ecosystem effects

| |
|---|
| Not applicable, as no effects on the environment are to be produced. The presence of the GMO in the wild fauna can be verified if considered necessary. |

3. Methods for detecting transfer of the donated genetic material from the GMO to other organisms

| |
|---|
| Not applicable. |

4. Size of the monitoring area ($m^2$)

| |
|---|
| 309 Ha. approx. |

5. Duration of the monitoring

| |
|---|
| Six months (duration of the whole trial). |

6. Frequency of the monitoring

| |
|---|
| Daily. |

## I. Information on post-release and waste treatment

1. Post-release treatment of the site

| |
|---|
| None, as GMO release into the environment is not expected to occur. |

2. Post-release treatment of the GMOs

| |
|---|
| None. |

3(a) Type and amount of waste generated

| |
|---|
| Glass vials containing the freeze-dried GMO, and plastic materials for inoculation and sample collection. |

3(b) Treatment of waste

| |
|---|
| Glass vials, syringes, needles, tubes and other materials in contact with the GMO will be sterilized by incineration in the same farm. |

## J. Information on emergency response plans

1. Methods and procedures for controlling the dissemination of the GMO(s) in case of unexpected spread

| |
|---|
| Sacrifice and incineration of all the animals of the farm and disinfection of all the facilities. |

2. Methods for removal of the GMO(s) of the areas potentially affected

| |
|---|
| Formaldehyde, phenols and UV. |

3. Methods for disposal or sanitation of plants, animals, soils, etc. that could be exposed during or after the spread

All the animals will be sacrificed and incinerated immediately.

4. Plans for protecting human health and the environment in the event of an undesirable effect

The GMO is based on the *Actinobacillus pleuropneumoniae* bacterium, which it is reported not to affect human beings, other animal species or plants.