FORMAT FOR THE PRESENTATION OF THE RESULT OF DELIBERATE RELEASE INTO THE ENVIRONMENT OF GENETICALLY MODIFIED HIGHER PLANTS IN ACCORDANCE WITH ARTICLE 10 OF DIRECTIVE 2001/18/EC

1 GENERAL INFORMATION

- 1.1 European notification number: B/ES/10/37
- 1.2 Member State of notification: Spain
- 1.3 Date of consent and consent number: 23rd of March and 26th of May 2010 in Cataluña and 26th of March 2010 in Aragón

2 REPORT STATUS

- 2.1 Please indicate whether, according to Article 3 of the present Decision, the current report is:
- the final report
- a post-release monitoring report
- final intermediary

3 CHARACTERISTICS OF THE RELEASE

- 3.1 Scientific name of the recipient organism: Zea mays
- 3.2 Transformation event(s) (acronym(s) or vectors¹ used (if transformation event identity not available): 1507
- 3.3 Unique identifier, if available: DAS-Ø15Ø7-1

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¹ In the case of small-scale field trials where several lines may be tested, the vectors used should be mentioned, which gives insight into the introduced traits and/or genetic elements. In the case of larger-scale trials, the number of events notified is limited to only one or a few events.

3.4 Please provide the following information as well as the field(s) layout:

Geographical location(s) (administrative region and, where appropriate, grid reference)	Size of the release site(s)	Identity (3) and approximate number of GM higher plants per event actually released (number of seeds/plants per m2)	Duration of the release(s) (from (day/month/year until (d/m/y)
Ejea de los Caballeros (Zaragoza) (Aragón)	- Total surface of the release: 3564m² - 1507 maize area: 270m²	1507 maize: ~ 8,5 plantas/m²	From 20/05/10 To 21/10/10
Nuez de Ebro (Zaragoza) (Aragón)	- Total surface of the release: 4131m² - 1507 maize area: 270m²	1507 maize: ~ 8,5 plantas/m²	From: 21/05/10 To: 19/10/10
Alcarrás (Lleida) (Cataluña)	- Total surface of the release: 51972 m ² - 1507 maize area: 3600 m ²	1507 maize: ~ 8 plants/m²	From: 23/06/10 To: 21/10/10

⁽²⁾ Specify the size of the GM area and, where appropriate, the size of the non-GM area (e.g. non-GM border)

See the trial layouts in Annex 1.

4 ANY KIND OF PRODUCT THAT THE NOTIFIER INTENDS TO NOTIFY AT A LATER STAGE

4.1 Does the notifier intend to notify the released transformation event(s) as product(s) for placing on the market under Community legislation(s) at a later stage?

Placing on the market of 1507 maize for import, processing and feed uses in the European Union has been authorized by Commission decision 2005/772/EC.

Placing on the market of 1507 maize for food uses has been authorized by Commission decision 2006/197/EC.

Cultivation dossier has been applied under # C/ES/01/01 by another juridical entity of the group.

group.			
□ YES	S 🗹 NO		Unknown to date
•	yes, indicate the country(ies) yes, specify for which use(s):		otification:
-	☐ Import ☐ Cultivation (eg; seed/pl	antii	ng material production)

⁽³⁾ Vectors used

- □ Food - □ Feed - □ Pharmaceutical use (or processing for pharmaceutical use) - □ Processing for	
5 TYPE(S) OF DELIBERATE RELEASE(S)	
Please select the main type(s) (in boxes) as well as subtype(s) of the release(s). In of multi-sites, multi-events and/or multi-annual release(s), please provide a overview of the type(s) of deliberate release(s) which has/have been carried out for duration of the consent. Please tick the appropriate type(s):	general
5.1 Deliberate release(s) for research purposes	
5.2 Deliberate release(s) for development purposes	\square
 □- Event screening □- Proof of concept □- Agronomic performances (e.g. efficiency/selectivity of plant protection producapacity, germination capacity, crop establishment, plant vigour, plant susceptibility to climatic factors/diseases, etc.) (specify) yield capacity, a behavior and adaptation to cultivation area □- Altered agronomic properties (e.g. disease/pest/drought/frost-resistance, etc.) (□- Altered qualitative properties (prolonged shelf-life, enhanced nutritional modified composition, etc.) (specify) □- Stability of the expression □- Multiplication of lines □- Hybrid vigour study □- Molecular farming³ □- Phyto-remediation □- Others: (specify) 	height, gronomic specify)
5.3 Official testing	
 Variety registration on a national variety catalogue DUS (=Distinctness, Uniformity and Stability) VCU (=Value of Cultivation and Use) Others: (specify): 	
5.4 Herbicide authorization	

For example, testing the new trait under environmental conditions.
 Molecular farming » means the production of substances (for instance, proteins, pharmaceuticals) by plants, which have been genetically modified for a particular trait. "Molecular farming" could be defined as well as the production of plant-synthesized pharmaceuticals, plant-made pharmaceuticals, plant-based proteins production, etc.

5.5 Deliberate release(s) for demonstration purposes	Ш
5.6 Seeds multiplication	
5.7 Deliberate release(s) for biosafety/risk assessment research	
□- Vertical gene transfer studies	
 Out-crossing with conventional crops 	
 Out-crossing with wild relatives 	
□- Horizontal gene transfer studies (gene transfer to micro-organisms)	
□- Management of volunteers	
□- Potential changes in persistence or dispersal	
□- Potential invasiveness	
□- Potential effects on target organisms	
☑- Potential effects on non-target organisms	
□- Observation of resistant relatives	
□- Observation of resistant insects	
□- Others : (describe)	
5.8 Other(s) type(s) of deliberate release(s):	
(Describe):	

6 METHOD(S), RESULT(S) OF THE RELEASE, MANAGEMENT AND MONITORING MEASURE(S) IN RESPECT OF ANY RISK TO HUMAN HEALTH OR THE ENVIRONMENT

6.1 Risk management measure(s)

Please report the risk-management measures, which have been used to avoid or minimise the spread of the GMO(s) outside the site(s) of release, and in particular those measures:

- Which were not originally notified in the application,
- Which were applied in addition to the conditions in the consent,
- Which the consent required only under certain conditions (e.g. dry periods, flooding),
- For which the consent allowed the notifier a choice among different measures.

Tick the examples where appropriate:

6.1.1 Before the sowing/planting:

- ☑ Clear labeling of the GM seeds (distinct from other seeds/tubers/etc.) (describe)

 The genetically modified seed lots were contained in sealed bags or small envelopes and boxes, clearly labeled as containing genetically modified material. In addition, each bag or envelope was clearly identified with the test entry code comparable to the code in the experimental protocol.
- ☑ Segregation during the processing and transport of the seed/planting material (describe the method involved; provide example(s) of containment to prevent spillage during the processing and transport)

Transport of the seed to the field was done on the planting day, in the original sealed and clearly identified bags or envelopes and boxes.

✓ - Destruction of superfluous seeds/planting material (describe the method involved).

The remaining seeds were buried in the soil at the release site.

- ☐ Temporal isolation (specify)
- ☐ Rotation (specify the previous crop)
- \square Other(s): (specify)

It was checked that the plot was isolated as required in the authorization (at least 200m to any other non-experimental maize crop).

6.1.2 During the sowing/planting activities:

☑ - Method of sowing/planting (describe)

In the Alcarrás (Lleida) location, seeds were planted with two conventional sowing machines.

In the Ejea de los Caballeros (Zaragoza) and Nuez de Ebro (Zaragoza) locations, trials were planted with a special sowing machine designed for micro-plot testing.

☑ - Emptying and cleaning of the sowing machinery on the field of release

At Ejea de los Caballeros and Nuez de Ebro (Zaragoza), at the end of each individual plot sowing, the remaining seeds (if any) were recovered in a specific container of the planting machine. At Alcarrás (Lleida), all the planting units of the planting machines were cleaned on the release site before and after proceeding to the planting of each seed lot in order to avoid any seed mixture.

The remaining seeds were buried into the soil on the release site. At the end of the planting of all trials at each location, the planting machines were carefully inspected and cleaned before leaving the trial site.

☑ - Segregation during the sowing (provide example of containment to prevent spillage during the sowing/planting)

Seeds were in separate bags or envelopes for each experimental micro-plot, which were opened only once the previous plot was planted and remaining seed collected.

 \square - Other(s): (specify)

6.1.3 During the period of release:

- ☑ Isolation distance (x meters)
 - From sexually compatible commercial plant species

An isolation distance of at least 200 meters was kept from the genetically modified trials to any other non experimental maize crops.

• From sexually compatible wild relatives

Not applicable, spontaneously maize has not any sexually compatible relatives in Europe.

 \square - Border rows (with the same crop or a different one, with a non-transgenic crop, x meters, etc)

At least eight border rows of non-genetically modified maize of a similar maturity were planted around the trials.

☑ - Cage/net/fence/signpost (specify)

In the location of Ejea de los Caballeros (Zaragoza), the plot where the trial was carried out was surrounded by a fence to protect the trial against predators' damage.

☑ - Pollen trap (specify)

The conventional maize rows planted around the trial created a pollen trap. At the end of the release, these non-genetically modified rows were destroyed like the rest of the □ - Removal of GM inflorescences before flowering (indicate the frequency of removal) \square - Other(s): (specify): 6.1.4 At the end of the release: ☑ - Harvest/destruction methods (of crop or part of it) / other means (e.g.: sampling) (describe) At the Ejea de los Caballeros and Nuez de Ebro (Zaragoza) locations, the harvest of the border rows planted around the trials as pollen traps, and the harvest of each micro-plot in the trials were done using a combine designed to harvest micro-plot trials for agronomic value, and that provides the yield in kilograms and the percentage of moisture of the grain for each individual micro-plot. The grain harvested was destroyed using a milling equipment installed in the combine. The remaining plant materials were destroyed with a chopper equipment installed in the combine and additionally by several choppings. Finally, the grain milled and all the plant material destroyed were incorporated into the soil by deep ploughing. For the trial carried out at Alcarrás (Lleida), the maize plants, including border rows, were destroyed using a chopper, addition of urea to facilitate the decomposition of the plant material and incorporation into the soil by ploughing. In addition, the remaining plant materials which were still on the trial surface were destroyed using a rotavator and compacted. The remaining plant residues were also recovered by hand and incorporated into the soil at the release site. All the trial plant materials were incorporated into the soil. ☐ - Harvest / destruction before the ripeness of the seeds □ - Effective removal of plant parts □ - Segregated storage and transport of crop/waste (provide examples of containment to prevent spillage of collected seeds/crops/wastes) ☑ - Clean up of machinery on the release site. The machinery used was carefully cleaned on the release site. ☑ - Destination of the waste, treatment of waste/ surplus yield/plant residues (describe) At Alcarrás (Lleida), all the plant residues were destroyed through the use of a chopper and a rotavator and were incorporated into the soil by ploughing or manually. At Ejea de los Caballeros and Nuez de Ebro (Zaragoza), all the plant residues generated during the release were incorporated into the soil by deep ploughing, once the grain was previously milled and the remaining plant material destroyed by chopping as described in point 6.1.4 (1st item). ☑ - Post-harvest treatment and cultivation measures on the release site (describe the method for preparing and managing the release site at the end of the release, including cultivation practices) At the Ejea de los Caballeros and Nuez de Ebro (Zaragoza) locations, the remaining waste plant materials were incorporated into the soil by a deep ploughing. In Alcarrás (Lleida), the remaining plant materials were destroyed using a chopper and a rotavator

and then incorporated into the soil by ploughing. They were also recovered by hand. All the plant residues were incorporated into the soil on the release site, as described in

point 6.1.4 (1st item). \Box - Other(s): (describe):

6.1.5 Post-harvest measures:

Please indicate which measures were taken on the release site after harvest:

Frequency of visits (average): approximately every two months

☑ - Subsequent crop (specify)

The next crop will be any crop except commercial maize. Wheat was already planted at Alcarrás (Lleida).

☑ - Crop rotation (specify)

The next crop will be any crop except commercial maize. Wheat was already planted at Alcarrás (Lleida).

- □ Fallow/no crop (specify)
- ☐ Superficial soil work / no deep ploughing
- □ False-sowing beds
- ☑ Control of volunteers (specify intervals and duration).

A proper monitoring of volunteers will be implemented during a one-year period after the end of the release. If any volunteers emerge, they will be destroyed prior to flowering, mechanically or by an appropriate herbicide treatment. Special attention will be paid during the period from usual soil preparation for maize sowing to pre-flowering stage.

At the Alcarrás (Lleida) location, maize volunteers were observed after the trial destruction and were destroyed during the preparation of the field for the planting of wheat in November 2010. So far, no more volunteers were observed at Alcarrás (Lleida), nor any at the other two locations.

- ☐ Appropriate chemical treatment(s) (specify)
- ☐ Appropriate soil treatment(s) (specify)
- \Box Other(s) (specify)
 - 6.1.6 Other(s) measure(s): (describe)
 - 6.1.7 Emergency plan(s)

Indicate:

- a) If the release proceeded as planned:
- Yes
- No (describe for which reason, e.g. vandalism, climatic conditions, etc.)
- b) If measures according to the emergency plan(s) (Article 6(2)(a)(vi) and Annex III.B of Directive 2001/18/EC) had to be taken
- No
- Yes (describe).

6.2 Post-release monitoring measures

Due to the fact that the current report format can be used for the final and post-release monitoring report(s), the notifier is asked to clearly make the difference between both types of report through this section 2 of Chapter 6. Please indicate whether

- **The post-release monitoring plan will start** (in the case of a <u>final report</u>, after the last harvest of the GM higher plants),
- **The post-release monitoring plan is ongoing** (in the case of an <u>intermediary post-release monitoring report</u>),
- **The post-release monitoring plan has been completed** (in the case of the <u>final post-release monitoring report</u>)
- No post-release monitoring plan has to be fulfilled.

The results of this monitoring are meant to confirm or invalidate earlier assumptions in the risk assessment.

According to the aforementioned cases, please indicate which monitoring measure(s) will be/are/were taken and where (on the release site/near the site (e.g. on fields edges)). Please be aware that all post-release monitoring measures taken during the whole post-release period shall be indicated here.

The destruction of the trial in Alcarrás (Lleida) was completed on 21 October 2010. The destruction of the trials was made on 19 October 2010 in Nuez de Ebro (Zaragoza) and 21 October 2010 in Ejea de los Caballeros (Zaragoza).

The post-release monitoring plan has started since these dates. The trial sites will be visited regularly in order to check the presence of volunteers. If there were any, they would be controlled by means of machines, or by an appropriate herbicide treatment.

To date, no maize volunteers were observed on the Ejea de los Caballeros and Nuez de Ebro (Zaragoza) sites.

At the Alcarrás (Lleida) location, some maize volunteers were observed on the site after the trial destruction but they were destroyed during the preparation of the field for the planting of wheat on 30 November, 2010. Subsequently and to date, no more maize volunteers were observed on the site.

No commercial maize will be sown in those sites during 2011.

Specify:

☑- Monitoring measures within site

Duration: one year-period after the end of the release.

Frequency of visits (average): approximately every two months

- Observation of resistant relatives
- Observation of resistant insects
- Control of volunteers (specify intervals and duration)
 Regular visits, in particular during usual maize emergence and flowering periods.
 If there were any maize volunteers, they would be controlled prior to flowering by means of machines or by an appropriate herbicide treatment. As described above, at Alcarrás (Lleida) location, some maize volunteers were observed after the trial destruction but they were destroyed during the preparation of the field for the subsequent crop. No more maize volunteers were observed to date.
- Monitoring of gene flow (specify)
- Appropriate chemical treatment(s) and/or soil treatment(s)
- Others (specify)
- □- Monitoring measures of adjacent areas: Not applicable

Duration

Frequency of visits (average):

Area monitored:

- Observation of resistant relatives
- Observation of resistant insects
- Control of volunteers and/or monitoring of feral populations (specify intervals and duration)
- Monitoring of gene flow (specify)
- Appropriate chemical treatment(s) and/or soil treatment(s)
- Others (specify)

6.3 Plan for observation(s)/methods(s) involved

In this section the observation plan and the methods used to collect the effects which have to be reported under the next section (section 6.4) need to be specified. Any amendments or modifications to the plan as proposed in the application and the SNIF⁴ part B need to be specified in detail.

During the time between the notification and the final report submission, new scientific insights or methods may be developed which cause a change in the methods used. In particular these modifications need to be specified under this section.

The observations were and will be done visually.

In addition, the trial plots were visited by inspectors.

A representative of the Departament d'Agricultura, Alimentació i Acció Rural (Servei de Producció Agricola, Control del Material Vegetal) from Catalunya Generalitat attended the planting of the trial at Alcarrás (Lleida), verifying that all the operations were done correctly. Also, it was checked that the trial destruction was made correctly.

A representative of the Departamento de Agricultura y Alimentación (Centro de Semillas y Plantas de Vivero) of the Aragón Government attended the planting as well as the harvest and destruction of the trials located at Ejea de los Caballeros and Nuez de Ebro (Zaragoza), verifying that these operations were done correctly.

6.4 Observed effect(s)

6.4.1 Explanatory note

All results of the deliberate release(s) in respect of any risk for human health or the environment shall be stated, without prejudice to whether the results indicate that any risk is increased, reduced or remains unchanged.

The main objectives of the information given in this section are:

- To confirm or invalidate any assumption regarding the occurrence and impact of potential effect(s) of the GMO(s) which was/were identified in the environmental risk assessment,

⁴ Summary notification information format (=SNIF)

- To identify effect(s) of the GMO(s) which was/were not anticipated in the environmental risk assessment.

The observed effect(s)/interaction(s) of the GMO(s)

- with respect to any risk to human health,
- with respect to any risk to the environment shall be reported under this section.

Particular attention shall be drawn to unexpected and unintended effect(s).

Indications as regards the effects, that the notifier may have to report, are provided hereunder. The effects have obviously to be considered in the light of the crop, the new trait, the receiving environment as well as the conclusions of the environmental risk assessment, which is carried out on a <u>case-by-case basis</u>.

In order to structure the information and to facilitate and efficient search within the given information, the notifier shall use, as far as possible, specific keywords to fill in the text fields under Chapter 6, especially sections 6.4.2, 6.4.3 and 6.4.4. A most updated list of those specific keywords is available on the Internet at: http://gmoinfo.jrc.ec.europa.eu/.

6.4.2 Expected effect(s)

This section concerns « expected effects », that is to say, potential effects which were already identified in the environmental risk assessment of the notification and could therefore be anticipated.

Notifiers should supply data from the deliberate release(s) which validate the assumptions made in the environmental risk assessment.

The potential reduction in the control of certain Lepidopteran insects, such as the European corn borer (*Ostrinia nubilalis*), if the target insect pests develop resistance to the insecticidal protein as expressed in the genetically modified 1507 maize, has been identified in the environmental risk assessment of the notification, as the only potential risk resulting from the interaction between the genetically modified maize and the target organisms.

In the trials carried out, no loss of efficacy of 1507 maize plants on European corn borer was detected. Concretely, no damages caused by the European corn borer were observed on 1507 maize plants, which lead to the conclusion that there was no resistance developed in the target Lepidopteran insects. This confirms that, in the case of the trials carried out, the likelihood of the occurrence of this potential identified adverse effect was negligible, taking into account the small surface occupied by the trials.

6.4.3 Unexpected effect(s) 5

"Unexpected effects" refer to effects on human health or the environment which were not foreseen or identified in the environmental risk assessment of the notification. This part of the report should contain any information with regard to unexpected effects or observations relevant for the initial environmental risk assessment. In case of any observed unexpected

⁵ Without prejudice to Article 8 of Directive 2001/18/EC as regards handling of modifications or new information.

effects or observations, this section should be as detailed as possible to allow a proper

interpretation of the data.

No damage or any kind of negative effects on human health or environment were observed.

6.4.4 Other information

Notifiers are encouraged to supply information, which is outside the scope of the notification but which might be relevant to the field trials in question. This may also include observations

of beneficial effects.

None

CONCLUSION 7

In this chapter, the notifier should specify the conclusions drawn and the measures taken or to be taken on the basis of the results of the release with regard to further release(s) and where appropriate, make reference to any kind of product the notifier intends to notify at a later

stage.

In the frame of the release, all the control measures were taken to avoid the spreading of

pollen and grains of the genetically modified maize plants.

No negative effect of any kind has been observed that has or could have effects on the human

health or the environment

No risk for the human health or the environment has been identified as a result of the

deliberate release of the genetically modified maize in these trials.

The proposed measures in the notification and the control measures taken seem to be

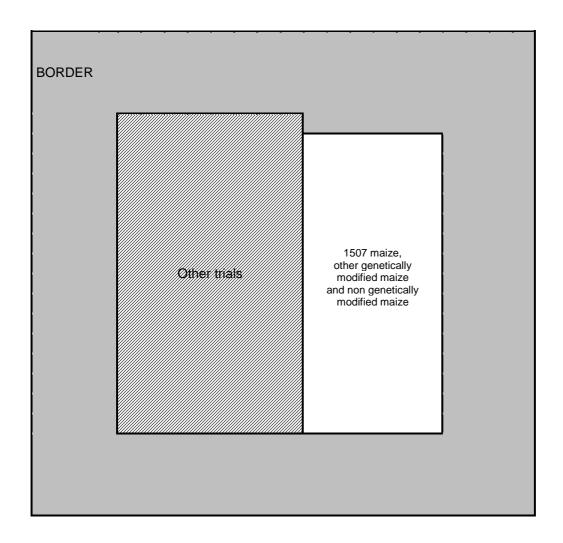
consistent with the aim of respecting the environment and human health safety.

DATE: 7 February 2011

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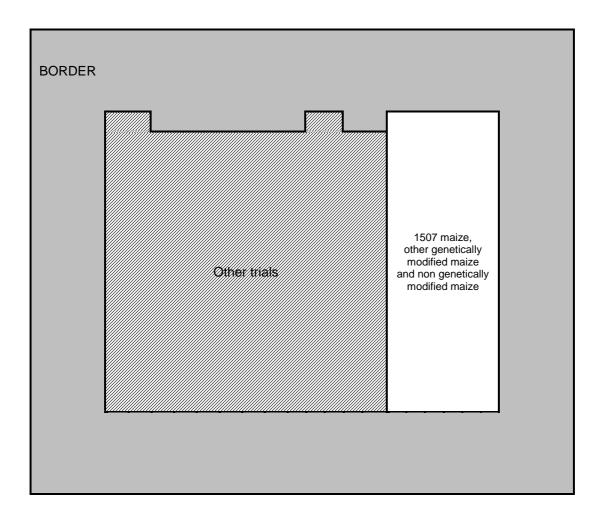
ANNEX 1: Field Layout

Location: Ejea de los Caballeros (Zaragoza, Aragón)



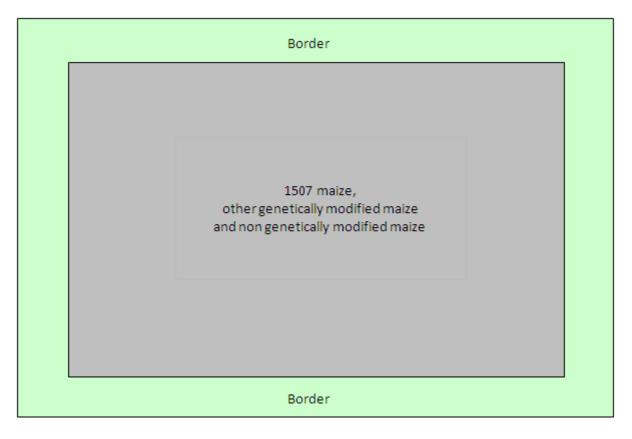
Border: at least 8 rows

Location: Nuez de Ebro (Zaragoza, Aragón)



Border: at least 8 rows

Location: Alcarrás (Lleida, Cataluña)



Border: at least 8 rows